

PART III: DZONGKHAG PLAN GUIDELINES

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PART III: DZONGKHAG PLAN GUIDELINES

I. DZONGKHAG PROGRAM GUIDELINES

Guidelines for the preparation of geog-based Dzongkhag Ninth Five Year Plan. (2002-2007).

1. Structure of Dzongkhag Plans

The Dzongkhag plans must be formulated incorporating the following:

1. Map of the Dzongkhag indicating the existing services and facilities. This can be done with the help of the sectors at the center.
2. Dzongkhag profile containing a brief description of the dzongkhag- location, altitude, physical size, household numbers, economic activity, resource, and land use by tenure, infrastructure services and constraints.
3. Brief review of the performance during the 8th plan, reflecting dzongkhag development:
 - a) Objectives.
 - b) Strategies.
 - c) Achievements.

N.B. In this section, the review shall be on the dzongkhag as a whole and not geog-wise

4. Objectives & strategies for the Ninth Plan.
 - A) Objectives.
 - Long term objective;
 - Immediate objective.
 - B) Strategies.
5. Programs for the Ninth Plan:
 - a) Dzongkhag central programs.
 - b) Geog programs.
6. Financial table with year wise break up reflecting both capital and current.

N.B. all write up should be in MS Word and tables in Excel.

2. General Policy Framework

1. The general approach to the Ninth Plan will not be different from the approach in the past plans. However, a slight shift in the approach to dzongkhag programs will be inevitable by dovetailing sector activities into geog programs to make sector activities more relevant to the need, potential, priorities and capacities of the geogs.
2. Sector activities outlined in the dzongkhag programs should not be included in the central agencies plan and the corresponding outlay and budget provisions should fall within the authority of the dzongkhag to use and manage the budget according to the program activities in keeping with the provisions of the financial manual.

(Explanation for B 2: currently, activities and budget are reflected both in the sector plans and dzongkhag plans which leads to double counting during Reviews. Furthermore, fixing of responsibility particularly in the case of implementation default is very difficult. Therefore the arrangement to reflect the activities along with budget within the purview of the dzongkhag plan is suggested).

3. Composition of Dzongkhag Plan

The dzongkhag plan should consist of two separate activities:

- a) *dzongkhag central programs; and*
- b) *geog programs.*

(Explanation: At present dzongkhag plan consists of central programs implemented by central agencies and dzongkhag programs implemented by the dzongkhag and geogs. From the Ninth Plan, it is suggested that sector activities that have relevance to the dzongkhag as a whole and are not applicable to a specific geog should form dzongkhag central activities. A total of geog programs together with dzongkhag central program should form the dzongkhag plan. This can lead us to effective geog planning system in the future).

4. Dzongkhag Central Programs

1. Dzongkhag central programs shall include the following activities, which shall be executed and implemented by the dzongkhag either independently or jointly with the sectors without involving geogs:
 - *renovation and maintenance of properties, construction of RNR centers and BHUs, promotion of new HYV of crops, plant protection activities, animal health, breed improvement, farm inputs and supplies, educational facilities, culture and religion, conservation and management of forest, health activities etc. The list is not comprehensive and can be added.*

N.B. Referral hospital, regional hospitals, Kanglung college, Semtokha Rigshung and high schools, teacher training institutes, research and livestock farms, NRTI, etc. should be included in the sector plan of the central agencies.

2. Dzongkhags should clearly identify sector activities for dzongkhag central programs in consultation with the central sector agencies and clearly define areas of responsibilities including agreement and modalities of fiscal transfer.
3. Dzongkhag program activities should be detailed into year- wise break up for five years along with indicative outlays. This is crucial for resource and capacity planning.
4. Program write-ups should clearly outline the objectives and strategies, program activities, anticipated output, starting and completion date.
5. Technical backstopping for dzongkhag programs should be provided by the central sector agencies. The dzongkhag Planning Units should play a very crucial role in coordinating the plan preparation at dzongkhag and particularly at the geog level, in close consultation with the sector heads in the dzongkhag.

5. Geog programs

1. Geog programs **should not or need not be standardized** and should be based on individual geog characteristics, need, priority, potentials and capacity. The geog programs should include activities, which will be implemented by the geog either independently or jointly with the sectors in the dzongkhag. A geog program may include **one or several of these activities**:
 - water supply, farm roads, community schools, irrigation, construction of gup's office, out-reach clinics, repair of lhakhangs, social forestry and other agricultural and live stock programs etc.
2. The geog programs must outline clearly:
 - why the activity is needed (objectives);
 - how it will be executed (strategy);
 - when will the activity start and when shall it be completed (time frame, depending on the scale and scope of the activity);
 - what is the anticipated benefit (out-put with numbers of either household or population);
 - what is the indicative cost for the activity (budget);

- who should contribute what (labor contribution by the beneficiary and budgetary support from the government).

N.B. This may sound new, but it is not entirely different from what is being done currently.

3. The list of geog activities can get **fairly long**. Therefore, **prioritization** will become highly crucial based on the **real need and capacity**.

*A word of caution: It will not be possible to super impose national goals and objectives to the geog program **in all cases**. So the objectives of the geog activities will have to be defined within the **context of why the activity is needed** rather than trying to find a link with the national objectives.*

4. Areas of responsibilities must be drawn up clearly for the activities in the geogs, for example, responsibilities of the geog in the construction of Gup's office, Community schools, water supply, farm roads etc.
5. The GYT's should be used effectively for planning, approving and implementation of geog activities.
6. The dzongkhags and sectors shall continue to extend technical backstopping for the geog activities.

6. Size and scope of Dzongkhag Plan

No plan allocation or ceiling is being made at this stage.

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